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Patent

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael Wray

) RE: Information Disclosure
) Statement

Serial No.: 09/733,540

) Group: Not Yet Assigned

Filed: December 7, 2000

) Examiner: Not Yet Assigned

For: "SECURITY PROTOCOL"

) Our Ref: B-4055 618411-1

) Date: February 12, 2001

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In accordance with the Applicant's duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

A copy of the U.K. Search Report is enclosed herewith. The documents cited in the U.K. Search Report of GB Application No. 9929032.2 are listed on Form-1449 (modified).

A copy of the International Search Report is enclosed herewith. The documents cited in the International Search Report of PCT Application No. PCT/US99/31126 are listed on Form-1449 (modified).

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual

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associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

If any fees are due, please charge our Deposit Account No. 12-0415 or credit any overpayment to our Account No. 12-0415. No fees should be due because this Information Disclosure Statement is being filed prior to the issuance of the next Office Action on the merits.

I hereby certify pursuant to 37 CFR § 1.10 that this correspondence is being deposited using the Express Mail Post Office to Addressee Service of the United States Post Office, **Express Mail Certificate (EL685148377US)** in a package addressed to the "Honorable Commissioner of Patents and Trademarks, Washington D.C. 20231", on February 12, 2001 by Cheryl Liang

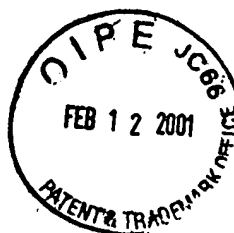
Respectfully submitted,

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Enclosures: Form PTO-1449 (modified) (3 pages)
Copies of documents cited in Form PTO-1449 (modified)
Copy of U.K. Search Report GB 9929033.0
Copy of U.K. Search Report GB 9929032.2
Copy of U.K. Search Report GB 9929029.8

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Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4055 618411-1	U.S. SERIAL NO. 09/733,540
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT Michael Wray	
	FILING DATE December 7, 2000	GROUP Not Yet Assigned

February 12, 2001

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
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/	Dierkes, T., et al., "The TLS Protocol, Version 1.0", IETF RFC2246, Network Working Group, January 1999, pages 1-67
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/	Ellison, C., "SPKI Requirements", IETF RFC 2692, Network Working Group, September 1999, pages 1-14
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✓	Ellison, C., et al., "SPKI Certificate Theory", IETF RFC2693, Network Working Group, September 1999, pages 1-36
	Ellison, C., et al., "SPKI Examples", <draft-ietf-spki-cert-examples-01.txt>, available at http://www.clark.net/pub/cme/examples.txt , March 10, 1998, pages 1-13
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✓	Kent, S., et al., "Security Architecture for the Internet Protocol", IETF RFC 2401, Network Working Group, November 1998, pages 1-66
	Merkow, Mark, "More Than A Language - XML Is A Security Tool Too!", Internet.com e-Commerce Guide, available at http://ecommerce.internet.com/outlook/print/0,,7761_124821,00.html , May 13, 1999, pages 1-4
✓	National Institute of Standards and Technology, <i>Data Encryption Standard (DES)</i> , DRAFT FIPS PUB 46-3, U.S. Department of Commerce, available at http://www.ncsl.nist.gov/fips/ , January 20, 1999, pages 1-20

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✓	National Institute of Standards and Technology, <i>Secure Hash Standard</i> , FIPS PUB 180-1, available at http://www.itl.nist.gov/fipspubs/ , April 17, 1995, pages 1-16
	Reagle, Jr., Joseph, editor, W3C Working Draft, "XML Signature Requirements", IETF, available at http://www.w3.org/TR/xmlsig-requirements , October 14, 1999, pages 1-6
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EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.